

# Free spectrahedra in quantum information theory

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# Talk outline

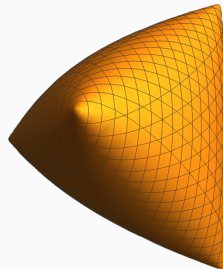
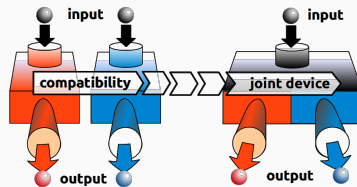
Measurement compatibility

Free spectrahedra

Connecting the two

Polytope compatibility

joint work with I. Nechita and S. Schmidt



# Measurement compatibility

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# Quantum states and measurements

- Motivation: Classical state  $\rightsquigarrow$  **probability distributions**:  $p \in \mathbb{R}^d$ ,  $p \geq 0$ ,  $\sum_i p_i = 1$ .
- Quantum states  $\rightsquigarrow$  **density matrices**:  $\rho \in \mathcal{M}_d(\mathbb{C})$ ,  $\rho \geq 0$ ,  $\text{Tr } \rho = 1$ .
- Measurement outcomes are labeled  $\{1, \dots, k\}$ , need to be assigned probabilities.
- **Measurements**: Tuples of matrices  $(E_1, \dots, E_k)$  such that  $(\text{Tr}[E_1\rho], \dots, \text{Tr}[E_k\rho])$  is a probability distribution for all states  $\rho$ .
  - $\text{Tr}[E_i\rho] \in \mathbb{R} \rightsquigarrow E_i = E_i^*$ .
  - $\text{Tr}[E_i\rho] \geq 0 \rightsquigarrow E_i \geq 0$ .
  - $\sum_i \text{Tr}[E_i\rho] = 1 \rightsquigarrow \sum_i E_i = I_d$ .
- Tuples of PSD matrices summing to identity are called positive operator-valued measures (**POVMs**).

## Quantum measurements: Compatibility

- Quantum measurements  $\rightsquigarrow$  give the probabilities of the classical outcomes when a quantum state enters a measurement apparatus. Mathematically, measurements are modeled by POVMs.

### Definition

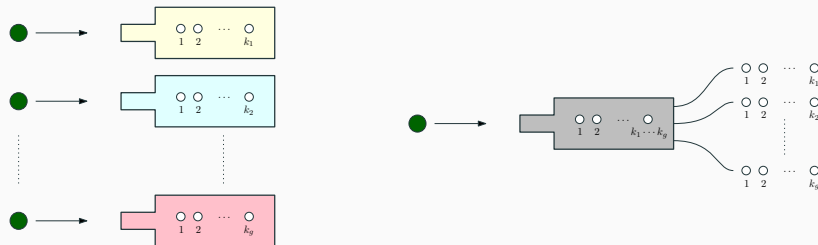
Two POVMs,  $A = (A_1, \dots, A_k)$  and  $B = (B_1, \dots, B_l)$ , are called **compatible** if there exists a third POVM  $C = (C_{ij})_{i \in [k], j \in [l]}$  such that

$$\forall i \in [k], \quad A_i = \sum_{j=1}^l C_{ij} \quad \text{and} \quad \forall j \in [l], \quad B_j = \sum_{i=1}^k C_{ij}.$$

The definition generalizes to  $g$ -tuples of POVMs  $A^{(1)}, \dots, A^{(g)}$ , having respectively  $k_1, \dots, k_g$  outcomes, where the **joint** POVM  $C$  has outcome set  $[k_1] \times \dots \times [k_g]$ .

- Other way to say that: **jointly measurable**.

# What does it mean?



- Compatible measurements can be simulated by a single joint measurement, by **classically post-processing** its outputs  $A_i^{(j)} = \sum_{\lambda} p_j(i|\lambda) C_{\lambda}$ .
- Examples:
  1. **Trivial** POVMs  $A = (p_i I_d)$  and  $B = (q_j I_d)$  are compatible.
  2. **Commuting** POVMs  $[A_i, B_j] = 0$  are compatible.
  3. If the POVM  $A$  is **projective**, then  $A$  and  $B$  are compatible if and only if they commute.

# Noisy POVMs

- POVMs can be made compatible by adding **noise**, i.e. mixing in trivial POVMs.
- Example: dichotomic POVMs and white noise,  $s \in [0, 1]$ :

$$(E, I - E) \mapsto s(E, I - E) + (1 - s)\left(\frac{I}{2}, \frac{I}{2}\right) \quad \text{or} \quad E \mapsto sE + (1 - s)\frac{I}{2}.$$

- Taking  $s = 1/2$  suffices to render any pair of dichotomic POVMs compatible  $\rightsquigarrow$   
define  $C_{ij} := (A_i + B_j)/4$ .
- From now on, we focus on dichotomic (YES/NO) POVMs.

## Definition

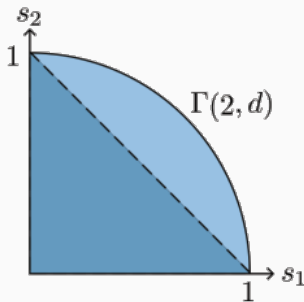
The **compatibility region** for  $g$  measurements on  $\mathbb{C}^d$  is the set

$$\Gamma(g, d) := \{s \in [0, 1]^g : \text{for all quantum effects } E_1, \dots, E_g \in \mathcal{M}_d(\mathbb{C}), \\ \text{the noisy versions } s_i E_i + (1 - s_i)I_d/2 \text{ are compatible}\}$$

## Compatibility region

$\Gamma(g, d) := \{s \in [0, 1]^g : \text{for all quantum effects } E_1, \dots, E_g \in \mathcal{M}_d(\mathbb{C}),$   
the noisy versions  $s_i E_i + (1 - s_i)I_d/2$  are compatible}

- The set  $\Gamma(g, d)$  is convex.
- For all  $i \in [g]$ ,  $e_i \in \Gamma(g, d)$ : every measurement is compatible with  $g - 1$  trivial measurements.
- For  $d \geq 2$ ,  $(1, 1, \dots, 1) \notin \Gamma(g, d)$ : there exist incompatible measurements.
- For all  $d \geq 2$ ,  $\Gamma(2, d)$  is a quarter-circle.



Generally speaking, the set  $\Gamma(g, d)$  tells us how robust (to noise) is the incompatibility of  $g$  dichotomic measurements on  $\mathbb{C}^d$ .



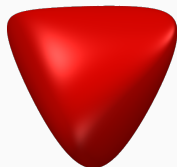
## Free spectrahedra

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# Free spectrahedra

- A **spectrahedron** is given by PSD constraints: for  $A = (A_1, \dots, A_g) \in (\mathcal{M}_d^{\text{sa}}(\mathbb{C}))^g$

$$\mathcal{D}_A(1) := \left\{ x \in \mathbb{R}^g : \sum_{i=1}^g x_i A_i \leq I_d \right\}$$



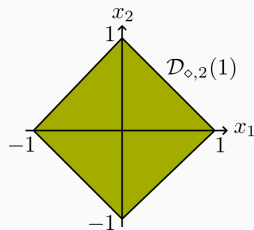
- $\mathcal{D}_{(\sigma_X, \sigma_Y, \sigma_Z)}(1) = \{(x, y, z) \in \mathbb{R}^3 : x\sigma_X + y\sigma_Y + z\sigma_Z \leq I_2\} =$  Bloch ball
- A **free spectrahedron** is the matricization of a spectrahedron

$$\mathcal{D}_A := \bigsqcup_{n=1}^{\infty} \mathcal{D}_A(n) \quad \text{with} \quad \mathcal{D}_A(n) := \left\{ X \in (\mathcal{M}_n^{\text{sa}}(\mathbb{C}))^g : \sum_{i=1}^g X_i \otimes A_i \leq I_{nd} \right\}$$

## Example: the matrix diamond

The **matrix diamond** is the free spectrahedron defined by

$$\mathcal{D}_{\diamond, g} := \bigsqcup_{n=1}^{\infty} \{X \in (\mathcal{M}_n^{\text{sa}}(\mathbb{C}))^g : \sum_{i=1}^g \epsilon_i X_i \leq I_n, \quad \forall \epsilon \in \{\pm 1\}^g\}$$



- At level one,  $\mathcal{D}_{\diamond, g}(1)$  is the unit ball of the  $\ell_1$  norm on  $\mathbb{R}^g$
- As a free spectrahedron, it is defined by  $2^g \times 2^g$  diagonal matrices  $\mathcal{D}_{\diamond, g} = \mathcal{D}_{L_1, \dots, L_g}$ , with  $L_i = I_2 \otimes \dots \otimes I_2 \otimes \text{diag}(1, -1) \otimes I_2 \otimes \dots \otimes I_2$

## Spectrahedral inclusion

- Consider two free spectrahedra defined by  $(A_1, \dots, A_g)$  and  $(B_1, \dots, B_g)$
- We write  $\mathcal{D}_A \subseteq \mathcal{D}_B$  if, for all  $n \geq 1$ ,  $\mathcal{D}_A(n) \subseteq \mathcal{D}_B(n)$
- Clearly,  $\mathcal{D}_A \subseteq \mathcal{D}_B \implies \mathcal{D}_A(1) \subseteq \mathcal{D}_B(1)$ . For the converse implication to hold, one may need to shrink  $\mathcal{D}_A$ ...

### Definition

For a free spectrahedron  $\mathcal{D}_A$ , we define its set of **inclusion constants** as

$$\Delta_A(g, d) := \{s \in [0, 1]^g : \text{for all } g\text{-tuples } B_1, \dots, B_g \in \mathcal{M}_d(\mathbb{C})^{\text{sa}}, \\ \mathcal{D}_A(1) \subseteq \mathcal{D}_B(1) \implies s \cdot \mathcal{D}_A \subseteq \mathcal{D}_B\}$$

- The inclusion constants for the matrix cube play an important role in combinatorial optimization
- We shall be concerned with the inclusion set for the **matrix diamond**, which we denote by  $\Delta(g, d)$

## Connecting the two

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## Compatibility in QM $\iff$ matrix diamond inclusion

To a  $g$ -tuple  $E \in (\mathcal{M}_d^{\text{sa}}(\mathbb{C}))^g$ , we associate:

$$\mathcal{D}_{2E-I} := \bigsqcup_{n=1}^{\infty} \{X \in (\mathcal{M}_n^{\text{sa}}(\mathbb{C}))^g : \sum_{i=1}^g X_i \otimes (2E_i - I_d) \leq I_{nd}\}$$

### Theorem

Let  $E \in (\mathcal{M}_d^{\text{sa}}(\mathbb{C}))^g$  be  $g$ -tuple of selfadjoint matrices. Then:

- The matrices  $E$  are *quantum effects*  $\iff \mathcal{D}_{\diamond, g}(1) \subseteq \mathcal{D}_{2E-I}(1)$
- The matrices  $E$  are *compatible quantum effects*  $\iff \mathcal{D}_{\diamond, g} \subseteq \mathcal{D}_{2E-I}$

At the intermediate levels  $1 \leq n \leq d$ ,  $\mathcal{D}_{\diamond, g}(n) \subseteq \mathcal{D}_{2E-I}(n)$  iff for all isometries  $V : \mathbb{C}^n \rightarrow \mathbb{C}^d$ , the compressed effects  $V^* E_i V$  are compatible.

Moreover, the compatibility region is equal to the set of inclusion constants of the matrix diamond:  $\forall g, d, \Gamma(g, d) = \Delta(g, d)$ .

# Consequences

Many things are known about the matrix diamond

- For all  $g, d$ ,  $\frac{1}{2^d}(1, 1, \dots, 1) \in \Delta(g, d)$  (Helton *et al.*, 2019)
- For all  $g, d$ ,  $\text{QC}_g := \{s \in [0, 1]^g : \sum_i s_i^2 \leq 1\} \subseteq \Delta(g, d)$  (Passer *et al.*, 2018)

Many things are known about (in-)compatibility

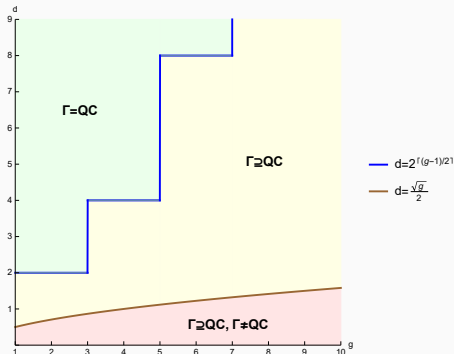
- Some small  $g, d$  cases completely solved
- Approximate **quantum cloning**  $\implies$  compatibility

$$\text{Clone}(g, d) := \{s \in [0, 1]^g : \exists \text{ quantum channel } \Phi : \mathcal{M}_d(\mathbb{C}) \rightarrow \mathcal{M}_d(\mathbb{C})^{\otimes g} \text{ s.t.} \\ \forall i \in [g], \quad \Phi_i(X) = s_i X + (1 - s_i) \frac{\text{Tr } X}{d} I_d\}$$

## Theorem

For all  $g$  and  $d \geq 2^{\lceil (g-1)/2 \rceil}$ ,  $\Gamma(g, d) = \Delta(g, d) = \text{QC}_g$

# Phase diagram



- Connection to free spectrahedra also holds for arbitrary outcomes
- Instead of matrix diamond, consider its generalization, the [matrix jewel](#)
- We can get better lower bounds from the [matrix cube](#) (duality, can be seen as steering)



## Inclusion of spectrahedra and (completely) positive maps

### Theorem (Helton et al., 2013)

Let  $A \in (\mathcal{M}_D^{sa}(\mathbb{C}))^g$ ,  $B \in (\mathcal{M}_d^{sa}(\mathbb{C}))^g$  such that  $\mathcal{D}_A(1)$  is bounded. Then,  $\mathcal{D}_A(n) \subseteq \mathcal{D}_B(n)$  iff the unital linear map

$$\Phi : \text{span}\{I, A_1, \dots, A_g\} \rightarrow \mathcal{M}_d^{sa}(\mathbb{C}), \quad A_i \mapsto B_i$$

is  $n$ -positive.

Sketch of the proof of the main theorem:

- Level 1: the extremal points of  $\mathcal{D}_{\diamond, g}(1)$  are  $\pm e_i$
- The inclusion  $\mathcal{D}_{\diamond, g} \subseteq \mathcal{D}_{2E-I}$  holds iff the unital map  $\Phi : l_2 \otimes \dots \otimes l_2 \otimes \text{diag}(1, -1) \otimes l_2 \otimes \dots \otimes l_2 \mapsto 2E_i - I_d$  is CP
- Arveson's extension theorem:  $\Phi$  has a (completely) positive extension  $\tilde{\Phi}$  to  $\mathbb{R}^{2g}$
- $C_f := \tilde{\Phi}(f)$  is a joint POVM for the  $E_i$ 's, where  $\{f\}$  is a basis of  $\mathbb{R}^{2g}$

# Polytope compatibility

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- We have seen that measurement compatibility can be reformulated as the inclusion of a free spectrahedron corresponding to the  $\ell_1$  unit ball.
- What happens if we replace this unit ball by some other polytope?
- Which quantum information problems can be described using these tools?

# Magic squares

A magic square is a collection of positive operators  $A_{ij}$ ,  $i, j \in [N]$ , such that

$$\begin{array}{cccccc} A_{11} & + & A_{12} & + & \dots & + & A_{1N} & = & I \\ + & & + & & & & + & & \\ \vdots & & \vdots & & \ddots & & \vdots & & \vdots \\ + & & + & & & & + & & \\ A_{N1} & + & A_{N2} & + & \dots & + & A_{NN} & = & I \\ \parallel & & \parallel & & & & \parallel & & \\ I & & I & & \dots & & I & & \end{array}$$

The magic square is said to be **semiclassical** if

$$A = \sum_{i,j \in [N]} |i\rangle\langle j| \otimes A_{ij} = \sum_{\pi \in \mathcal{S}_N} P_\pi \otimes Q_\pi,$$

where  $P_\pi$  is the permutation matrix associated to  $\pi$  and  $\{Q_\pi\}_\pi$  is a POVM.

# Birkhoff polytope compatibility

## Definition

For a given  $N \geq 2$ , the Birkhoff body  $\mathcal{B}_N(1)$  is defined as the set of  $(N-1) \times (N-1)$  truncations of  $N \times N$  bistochastic matrices, shifted by  $J/N$ :

$$\mathcal{B}_N = \{A^{(N-1)} - J_{N-1}/N : A \in \mathcal{M}_N(\mathbb{R}) \text{ bistochastic}\} \subset \mathbb{R}^{(N-1)^2}.$$

## Theorem

Consider a  $(N-1)^2$ -tuple of selfadjoint matrices  $A \in \mathcal{M}_d^{sa}(\mathbb{C})^{(N-1)^2}$  and a corresponding matrix  $\tilde{A} \in \mathcal{M}_N(\mathcal{M}_d(\mathbb{C}))$ . Then:

1. The matrix  $\tilde{A}$  is a magic square if and only if  $\mathcal{D}_{\mathcal{B}_N^\circ}(1) \subseteq \mathcal{D}_{A-I/N}(1)$  (the  $A - I/N$  are  $\mathcal{B}_N$ -operators).
2. The matrix  $\tilde{A}$  is a semiclassical magic square if and only if  $\mathcal{D}_{\mathcal{B}_N^\circ} \subseteq \mathcal{D}_{A-I/N}$  (the  $A - I/N$  are  $\mathcal{B}_N$ -compatible).

## Relation to measurement incompatibility

Is being a semiclassical magic square the same as being compatible? **No.**

|                                   |                                   |                                   |                                   |
|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| $\frac{1}{2}  0\rangle\langle 0 $ | $\frac{1}{2}  1\rangle\langle 1 $ | 0                                 | $\frac{1}{2} I_2$                 |
| $\frac{1}{2}  1\rangle\langle 1 $ | $\frac{1}{2}  0\rangle\langle 0 $ | $\frac{1}{2} I_2$                 | 0                                 |
| 0                                 | $\frac{1}{2} I_2$                 | $\frac{1}{2}  +\rangle\langle + $ | $\frac{1}{2}  -\rangle\langle - $ |
| $\frac{1}{2} I_2$                 | 0                                 | $\frac{1}{2}  -\rangle\langle - $ | $\frac{1}{2}  +\rangle\langle + $ |

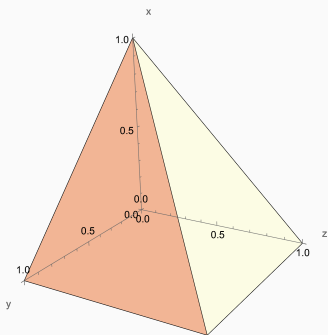
These measurements are compatible, but they do not form a semiclassical magic square.

**Reason:**  $\mathcal{B}_N$ -compatibility restricts the post-processing to  $p_i(j|\lambda) = p_j(i|\lambda)$ , i.e., enforces special structure in the joint POVM.

# Measurement compatibility with shared effects

Can we generalize the magic square example?

$$\mathcal{P} = (-1/3, -1/3, -1/3) + \text{conv}\{((1, 0, 0), (0, 0, 0), (0, 0, 1), (0, 1, 0), (0, 1, 1))\}.$$



Consider  $(A, B, C) \in (\mathcal{M}_d^{\text{sa}}(\mathbb{C}))^3$ . Then, we have  $(A, B, C) + 1/3(I, I, I)$  are  $\mathcal{P}$ -operators if and only if both  $(A, B, I_d - A - B)$  and  $(A, C, I_d - A - C)$  are POVMs.

## Measurement compatibility with shared effects, continued

When does  $(A, B, C) + 1/3(I, I, I)$   $\mathcal{P}$ -compatible hold? Equivalent to the existence of a joint measurement such that

|       |       |                 |                 |
|-------|-------|-----------------|-----------------|
| $Q_1$ | 0     | 0               | $= A$           |
| 0     | $Q_5$ | $Q_4$           | $= B$           |
| 0     | $Q_3$ | $Q_2$           | $= I_d - A - B$ |
| $= A$ | $= C$ | $= I_d - A - C$ |                 |

Not all joint measurements are of this form, check

$$\left( \frac{1}{2}I_2, \frac{1}{2}|0\rangle\langle 0|, \frac{1}{2}|1\rangle\langle 1| \right) \quad \text{and} \quad \left( \frac{1}{2}I_2, \frac{1}{2}|+\rangle\langle +|, \frac{1}{2}|-\rangle\langle -| \right).$$

$\mathcal{P}$ -compatibility for general polytopes  $\mathcal{P}$  corresponds to measurement compatibility with shared elements and restricted post-processing, according to a graph defined by  $\mathcal{P}$ .



- Measurement incompatibility can be phrased as inclusion of free spectrahedra. Base set: diamond.
- Noise robustness corresponds to inclusion constants.
- Generalization:  $\mathcal{P}$ -compatible operators.
- Examples include magic squares and compatibility with shared elements (under restricted post-processing)

## References

Inclusion constants:

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